Asynchronous marine-terrestrial signals of the last deglacial warming in East Asia associated with low- and high-latitude climate changes

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A high-resolution multiproxy record, including pollen, foraminifera, and alkenone paleothermometry, obtained from a single core (DG9603) from the Okinawa Trough, East China Sea (ECS), provided unambiguous evidence for asynchronous climate change between the land and ocean over the past 40 ka. On land, the deglacial stage was characterized by rapid warming, as reflected by paleovegetation, and it began *ca.* 15 kaBP, consistent with the timing of the last deglacial warming in Greenland. However, sea surface temperature estimates from foraminifera and alkenone paleothermometry increased around 20–19 kaBP, as in the Western Pacific Warm Pool (WPWP). Sea surface temperatures in the Okinawa Trough were influenced mainly by heat transport from the tropical western Pacific Ocean by the Kuroshio Current, but the epicontinental vegetation of the ECS was influenced by atmospheric circulation linked to the northern high-latitude climate. Asynchronous terrestrial and marine signals of the last deglacial warming in East Asia were thus clearly related to ocean currents and atmospheric circulation. We argue that (*i*) early warming seawater of the WPWP, driven by low-latitude insolation and trade winds, moved northward via the Kuroshio Current and triggered marine warming along the ECS around 20–19 kaBP similar to that in the WPWP, and (*ii*) an almost complete shutdown of the Atlantic Meridional Overturning Circulation *ca.* 18–15 kaBP was associated with cold Heinrich stadial-1 and delayed terrestrial warming during the last deglacial warming until *ca.* 15 kaBP at northern high latitudes, and hence in East Asia. Terrestrial deglacial warming therefore lagged behind marine changes by *ca.* 3–4 ka.

asynchrony | East Asian monsoon | land-sea correlation | low- and high-latitude interplay | thermohaline circulation

Detailed knowledge of the phase relationships between climate changes in terrestrial and marine equatorial regions that link the Northern and Southern Hemispheres is critical to understanding the climate dynamics underlying orbital-scale and abrupt climate change (1–3). Previous research has focused on determining the relative timing of climate change during the last deglaciation between terrestrial and marine regions and/or between low- and high-latitude regions, with different conclusions:

i) Climate change in the continental Northern Hemisphere (4) was synchronous with that in the North Pacific Ocean (5), South China Sea (SCS) (6), Mediterranean Sea (7), and North Atlantic Ocean (8) during the last deglaciation. It was characterized by a rapid cooling at *ca.* 18–15 kaBP [Heinrich stadial-1 (HS1)] (9) and then an abrupt warming at *ca.* 15 kaBP (Bølling warm period) (10).

ii) Synchronous climate changes with a continuous warming from *ca.* 18 ± 1 to 10 kaBP occurred in Antarctica and high-latitude southern oceans, but this warming was interrupted by a minor pause or a slight cooling, called the Antarctic Cold Reversal (10–12). Temperature histories show that when a gradual cooling began in Antarctica, Greenland warmed abruptly. This trading of heat between the hemispheres has been called the bipolar seesaw. It acts to redistribute heat depending on the state of the Atlantic Meridional Overturning Circulation (AMOC) (13).

iii) Climate change in tropical and middle-low latitudes of the Pacific Ocean, Indian Ocean, and South Atlantic Ocean was characterized by a continuous deglacial warming. This warming started at *ca.* 20–19 kaBP (3, 5, 9, 14), which is earlier than that in high latitudes of the Southern Hemisphere during the last deglacial period (9, 13, 14). It was not interrupted by any marked cooling event, such as the HS1 observed in high-latitude records of the Northern Hemisphere.

However, the vast majority of these results were acquired through comparisons of different proxy records from different materials with different resolution and dating uncertainties. Detailed knowledge concerning the leads and lags of climate changes between marine and terrestrial areas linking low and high latitudes is still controversial (15, 16).

Here, we present three sets of independent climate proxy data from a single core (DG9603) from the Okinawa Trough, East China Sea (ECS): one of terrestrial origin (pollen) and two of marine origin [foraminifera (17, 18) and alkenone paleothermometry ($U^{k'}_{37}$) (19, 20)]. The results provide robust evidence for oceanic and terrestrial environmental changes in East Asia during the past 40 ka. This study addresses the clear link between low-latitude oceanic and high-latitude terrestrial climate changes during the last deglacial warming.

Site Descriptions and Materials

Located in the eastern part of the ECS between the Pacific Ocean and the Eurasian continent, the Okinawa Trough is the only area that has continuously recorded environmental changes of both oceans and adjacent landmasses simultaneously. It thus provides direct and unambiguous evidence of the links between marine and terrestrial environments (21, 22).

The marine system in this region mainly consists of the Kuroshio Current (21, 22), which flows north on the western side of the North Pacific Ocean, analogous to the Gulf Stream in the North Atlantic Ocean. It transports about 3×10^7 m^3 of warm water per second from the tropical Pacific Ocean to the ECS

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region (21, 23). The epicontinental climate system is characterized by seasonal alterations of the East Asian summer and winter monsoons (24).

The ECS receives massive amounts of terrestrial sediments [about 2×10^9 tons/y (a)] transported by the Yangtze River and other rivers from the surrounding regions (25). During the Last Glacial Maximum (LGM), the ECS sea level was at least 125 m lower than at present; thus, most of the present shelf was exposed (22). The Okinawa Trough, close to the ECS shelf edge, has a water depth of more than 2,700 m in places and was continuously submerged during the glacial/interglacial cycles; thus, it continuously accumulated deposits of mixed terrestrial and marine sources.

Core DG9603 was taken from the mid-Okinawa Trough (28° 08.869′N, 127°16.238′E, water depth of 1,100 m) (Fig. 1). This 5.85-m core has continuous accumulation of semipelagic abyssal ooze with abundant microfossils, and the age model was established using accelerator mass spectrometry (AMS) ^{14}C dates (17, 18). Twelve samples for AMS ^{14}C dating were obtained from monospecific samples of the planktonic foraminifera *Globorotalia menardii*, *Globigerinoides sacculifer*, and *Neogloboquadrina dutertrei* (Table S1). The ^{14}C ages were converted to calendar ages using software CALIB 6.1 (26) and Marine09 datasets (27). The ages of samples from bottom to top were estimated by linear interpolation of these age control points. The top 4.5 m covers the past 42 ka, with an average resolution of *ca.* 208 a per sample.

Results

Vegetation Changes in Epicontinental Areas of the ECS. The relative abundance of subtropical evergreen arboreal taxa [*Quercus E* (evergreen) and *Castanopsis-Lithocarpus*], temperate arboreal taxa [*Quercus D* (deciduous)], and herbaceous species (Cyperaceae and *Artemisia*) revealed large (*ca.* 20–40%) and abrupt changes *ca.* 26.5 kaBP and *ca.* 15 kaBP (Fig. 2 and Figs. S1 and S2). Their absolute concentrations display similar changes (Fig. S3).

From *ca.* 26.5–15 kaBP, *Quercus D* rapidly increased, whereas *Quercus E* and *Castanopsis-Lithocarpus* significantly decreased. The maximum values of steppe and wetland taxa (*Artemisia* and Cyperaceae) and minimum values of the first principal component (F1) of principal components analysis (PCA) (*Methods*) indicate that the coldest climate occurred in this period during the past 40 ka. After *ca.* 15 kaBP, the sharp increase of tropical/subtropical evergreen arboreal taxa (i.e., *Quercus E*, *Castanopsis-Lithocarpus*), with a simultaneous decrease of *Quercus D* and herbaceous taxa, reflected a dramatic rise in temperature. Its timing coincided with an abrupt cold/warm and dry/wet transition observed in the Chinese cave stalagmites (29, 30) and in a Greenland ice core (31). A small increase in *Quercus D* and a slight decrease in *Quercus E* and *Castanopsis-Lithocarpus* during the interval from *ca.* 12.9–11.5 kaBP indicated a minor temperature reversal. The timing of this reversal corresponded with the cold Younger Dryas (YD) event. Notably, this temporal pattern of climate changes is similar to the last deglacial climate oscillation in the Greenland ice core (31) (Fig. 2).

Pollen in modern marine sediments of the ECS is derived from epicontinental vegetation along the adjacent eastern margin of China (32), from which location it is transported by rivers, winds, and long-shore currents and deposited in the ECS (33). Moreover, the exposed ECS shelf was also a major pollen source for the Okinawa Trough during the LGM and deglacial periods (32–34). Previous pollen records from the middle Okinawa Trough indicated that abrupt vegetation changes and land temperature increases were synchronous with the Bølling warm period warming observed in the Greenland ice core *ca.* 14.6 kaBP (34). Our evidence also corroborates this synchronous deglacial warming in the epicontinental climate of the ECS and northern high latitudes.

Asynchronous Marine and Terrestrial Signals During the Last Deglaciation. The high-resolution alkenone-based sea surface temperature (SST) (19, 20) record shows that a continual deglacial warming began around 20–19 kaBP, starting from the coldest estimated SST of 21 °C (i.e., about 5–6 °C lower than the modern SST; Fig. 3*F*). Similarly, the winter sea surface temperature (SSTw) reconstructed from fossil foraminiferal data of the same site using foraminiferal transfer functions (17, 18) yielded a comparable pattern for the last deglacial warming that started *ca.* 20–19 kaBP (Fig. 3*G*).

In both records, SSTw and $U^{k'}_{37}$ SST estimates had similar trends during the transition from the late glacial to the Holocene. However, the SSTw curve showed a relatively larger amplitude of SSTw variation than $U^{k'}_{37}$ SST, because the foraminiferal transfer function has a higher sensitivity than alkenone methodologies (5). Notably, the pattern of this transition was similar to that of the last deglacial warming recorded in marine core from the Western Pacific Warm Pool (WPWP) (35) (Fig. 3*H*), suggesting a direct link in SST changes between the Okinawa Trough and the tropical Pacifi

they avoid the pitfall of age uncertainties arising from correlations of proxy records obtained from different cores with different radiocarbon dating controls. The timing of oceanographic changes in the ECS significantly led the corresponding variations in its epicontinental vegetation and climate by about 3–4 ka on glacial/interglacial time scales (Fig. 3).

Discussion and Conclusions

Vegetation Changes and the East Asian Monsoon. The East Asian monsoon is a dynamic, interactive climate system driven by two seasonally reversing mechanisms. In summer, the differential heating produces an atmospheric pressure gradient between an ocean and continent that forces a steady flow of warm and moist maritime air onto the continent (i.e., the East Asian summer monsoon). In winter, the atmospheric pressure gradient is reversed, resulting in the flow of cold, dry air out from north-central Asia (i.e., the winter monsoon). Vegetation changes on the East Asian continent are mainly controlled by these two monsoon seasons (33, 34). Because the plant community response to climate changes is very rapid, probably within a few decades (38, 39), a high-resolution pollen record derived from core DG9603 revealed not only vegetation but Asian monsoon changes in epicontinental areas of the ECS.

From *ca.* 40–26.5 kaBP, these areas were covered by warm temperate forest-steppe and wetlands, indicating a relatively strong summer monsoon. During the period of ~26.5–15 kaBP, wetland and temperate steppe (or a mosaic of forest and grassland) developed, reflecting a stronger winter monsoon. In this period, a gradual reduction of *Artemisia* occurred from *ca.* 18–15 kaBP. As shown in Fig. 2 and Fig. S2, *Artemisia* pollen was mainly replaced during this period by Cyperaceae and *Quercus D*, which have ecological and climatic implications relative to *Artemisia* in the pollen record, suggesting no major change in epicontinental vegetation types and climate before and after 18 kaBP. This gradual reduction of *Artemisia* might be ascribed to the shrinking of the grass-covered ECS continental shelf due to the rise of the deglacial sea level (33, 34) rather than to climate change. The exposed continental shelf was composed mainly of saline sandy soil favorable to the growth of *Artemisia* (40); thus, the gradual decrease of *Artemisia* in deglacial sediments likely reflected the process of marine transgression on the previously exposed shelf (34, 40). It is

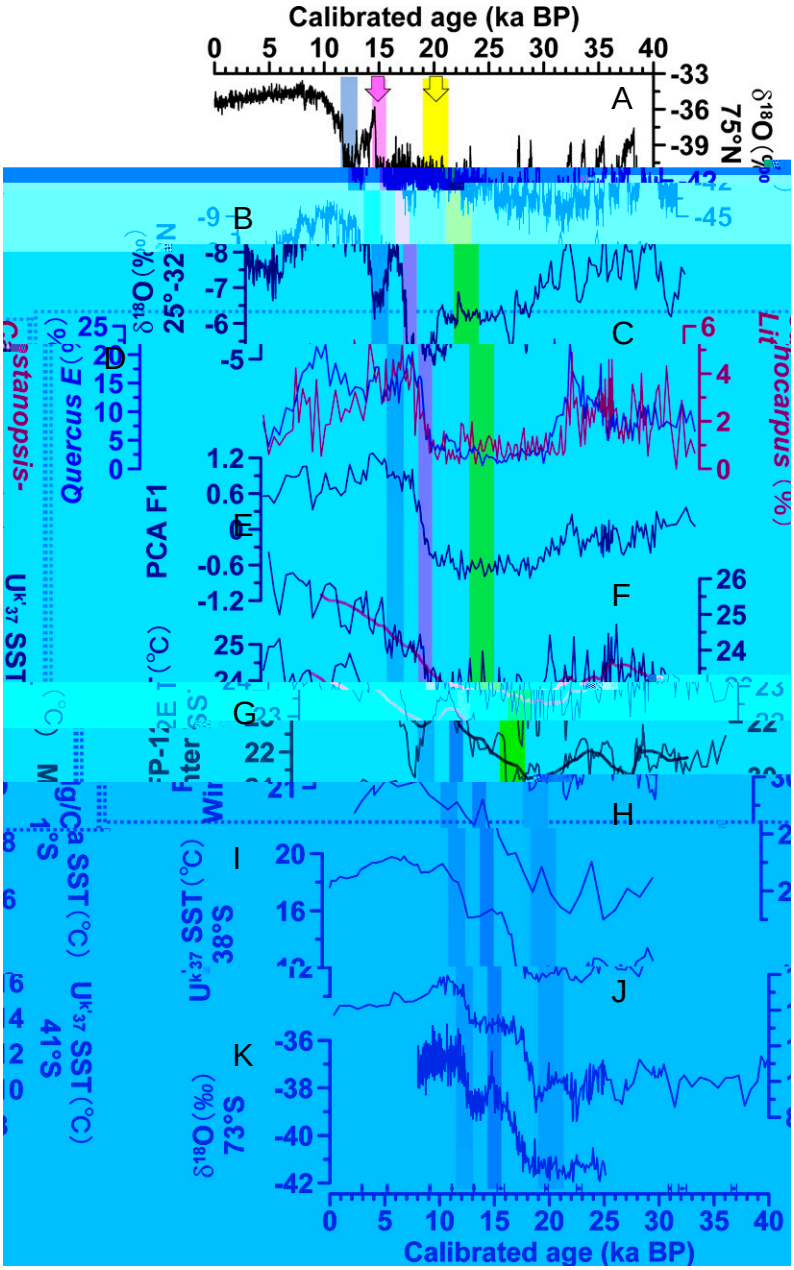

Fig. 3. Comparison of deglacial climate proxy records from core DG9603 with other proxy records for temperature and circulation in the Antarctic Ocean, Pacific Ocean, Greenland, and East Asia. $\delta^{18}O$ record from the NGRIP (31) [on a GICC05 time scale (28)] (*A*), $\delta^{18}O$ records from Hulu and Dongge caves (29, 30) (*B*), multiproxy records of core DG9603 in the dashed blue box [*Castanopsis-Lithocarpus* (*C*), *Quercus E* (*D*), PCA F1 (*E*), $U^{k'}_{37}$ SST (19, 20) (*F*), and FP-12E SSTw (18) (*G*)], Mg/Ca SST in MD97-2138 core (35) (*H*), $U^{k'}_{37}$ SST in MD03-2611 core (36) (*I*), $U^{k'}_{37}$ SST in ODP1233 core (37) (*J*), and $\delta^{18}O$ record from Taldice (on a GICC05 time scale) (12) (*K*). Black dots at the bottom indicate dating control points with 1σ uncertainty for the DG9603 core. The yellow-shaded bar (arrow) and pink-shaded bar (arrow) show the timing of the last deglacial warming in SST records from the WPWP (*H*) and ECS (*F* and *G*), and in terrestrial climate records from East Asia (*B–D*) and Greenland (*A*), respectively; the blue-shaded bar shows the YD cold period. Red lines in *F* and *G* are 25-point running averages.

Okinawa Trough also displayed a continuous deglacial warming pattern beginning at *ca.* 19 kaBP (42).

Understanding the cause of the continuous deglacial SST warming of the Kuroshio region that started *ca.* 20–19 kaBP offers important insights into the mechanisms for a linkage between the ECS and the tropical Pacific Ocean. The $\delta^{13}C$ record of planktonic foraminifera from the Kuroshio region showed a broad minimum during the last deglaciation in the Okinawa Trough (43). This event was consistent with the last deglacial $\delta^{13}C$ minimum in records from the western equatorial Pacific Ocean, which suggests that the source water may have come from the tropical Pacific Ocean during the last deglaciation (43). Because the last deglacial $\delta^{13}C$ minimum in records from the western equatorial Pacific Ocean was an important regional paleoceanographic event (43), the consistency in the timing of this event between two regions implies that signals from the tropical zone of the ocean can be rapidly delivered to the northern middle latitudes along the path of the Kuroshio region. It also suggests that warming water evolution in the tropical Pacific Ocean played a key role in regulating SST variations of the ECS (43, 44).

A comparison of our SST records with those in the SCS showed that SST in the Okinawa Trough was influenced more significantly by SST changes in the tropical Pacific Ocean during the last deglaciation. The SCS, consisting of semienclosed marginal basins, was unlikely to be affected by Pacific Ocean open circulation, especially in the glacial period with a low sea level (6). It was more vulnerable to being influenced by the East Asian monsoon associated with high-latitude atmospheric circulation (45, 46).

Asynchronous Marine and Terrestrial Signals Associated with Low- and High-Latitude Climate Changes. Low-latitude tropical regions (*ca.* 23°N–23°S) receive about half of the solar energy on the Earth's surface (47, 48); the tropical ocean is therefore considered as the "heat engine" driving the global climate system on seasonal to geological time scales (49). However, what mechanisms caused the last deglacial warming that started at *ca.* 20–19

kaBP in the WPWP and its spreading from low-latitude oceans to high-latitude northern and southern oceans?

Shiau and Chen (46) found that the SST warming in the tropical regions at *ca.* 20–19 kaBP was synchronous with the increase of June local solar radiation at *ca.* 20 kaBP. This correspondence with the precessional cycle suggests that insolation could be the main factor responsible for early SST warming (46). De Deckker et al. (1) recently proposed another mechanism: An early phase of the last deglacial warming in the WPWP probably resulted from maximum austral summer insolation peaking at 21 kaBP (1), which stored a large amount of heat in the WPWP.

However, the heat storage in the WPWP may also be affected by other factors, especially the trade winds (47, 49, 50). Under the trade wind stress, the thermocline is deep in the West and shallow in the East; thus, a huge amount of heat is stored in the tropical western Pacific Ocean by the westward warm equatorial current, creating the WPWP. Geological records (51) and model simulations (52) revealed that SST increase in the WPWP was consistent with the strengthened intensity of trade winds, as the summer (June, July, August) solar radiation increased from 20 kaBP under the precessional cycle (47, 50–52). Therefore, the last deglacial warming beginning as early as *ca.* 20 kaBP in the WPWP was mainly driven by the tropical insolation and trade wind intensity (46, 52, 53).

With an excess of heat stored in the WPWP *ca.* 20 kaBP, the heat would have been transferred from low to high latitudes by the assistance of surface ocean currents. In the north of the WPWP, the warming water was moved northward by the Kuroshio Current to enter the ECS. This process led to the ECS warming *ca.* 20–19 kaBP, at the same time as in the WPWP. On the southern margin of the WPWP, the southward shift of the subtropical front and the rise in sea level allowed the stored heat in the WPWP to flow into the central Indian Ocean and then to escape into the Atlantic Ocean via the Agulhas Current, as De Deckker et al. (1) have proposed. The huge influx of warm saltwater into the Atlantic Ocean may have ultimately helped ton

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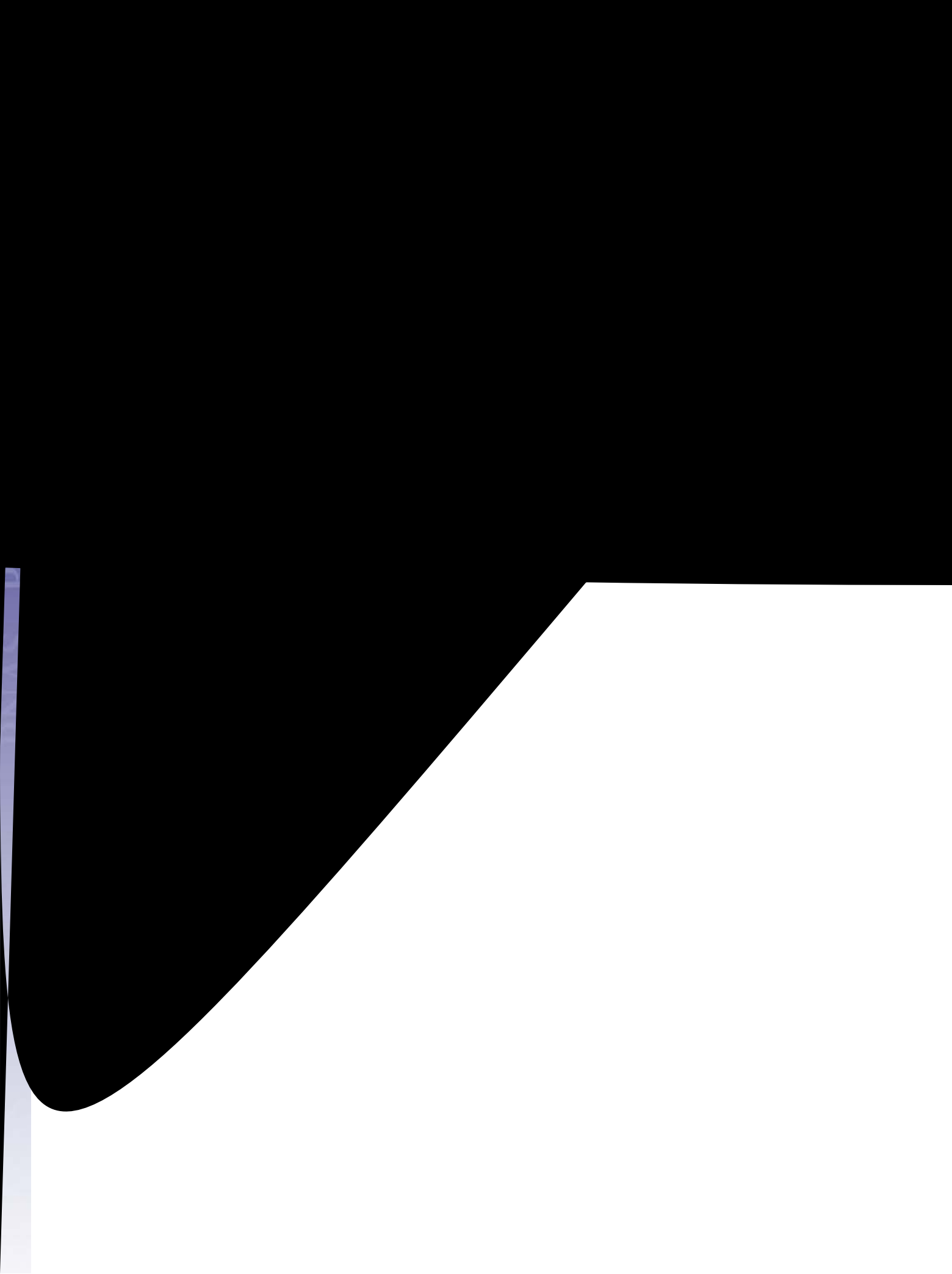

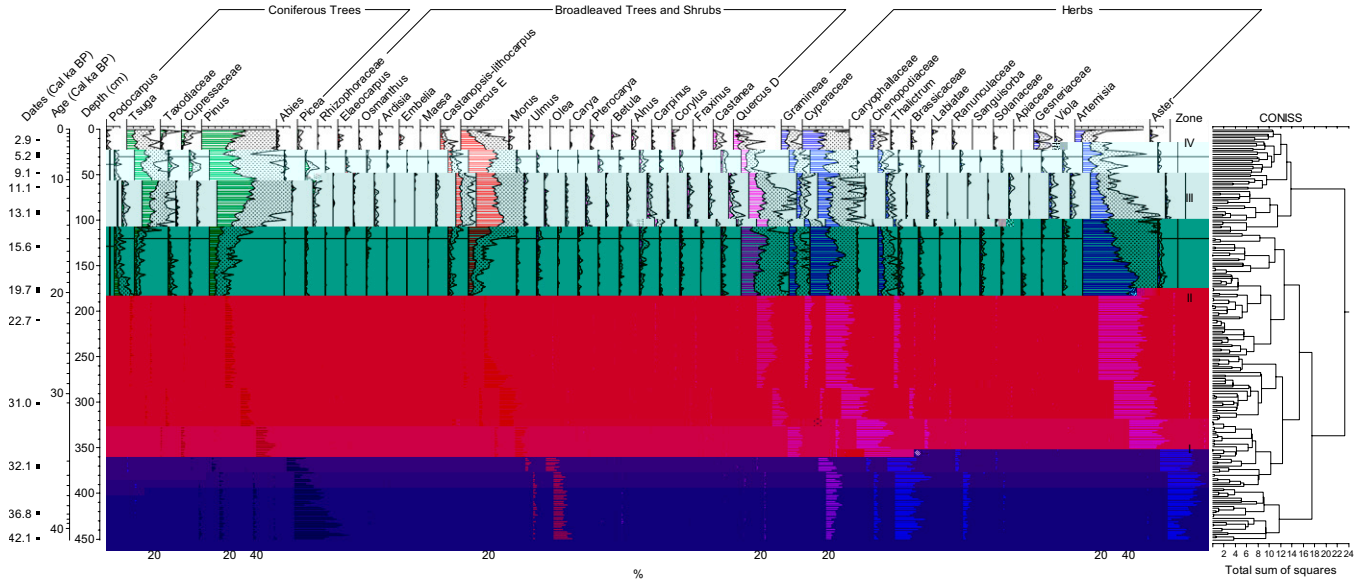

Fig. S2. Pollen percentage diagram of core DG9603 for the past 42 ka. Black curves represent a threefold exaggeration. During stage I (*ca*. 42–26.5 kaBP), pollen spectra are characterized by relatively abundant *Pinus*, *Castanopsis-Lithocarpus*, *Quercus E*, Betulaceae, *Quercus D*, *Artemisa*, Chenopodiaceae, and Cyperaceae pollen. Pollen spectra indicate that the epicontinental area around the East China Sea (ECS) was covered by warm/temperate forest/steppe and wetland under temperate and moist climate conditions at this stage. During stage II (*ca*. 26.5–15 kaBP), the percentages of *Castanea*, *Quercus D*, *Artemisia*, and Gramineae increased, whereas *Quercus E* and other evergreen broadleaved components decreased. The temperate forest/steppe and wetland occupied the exposed continental shelf and epicontinental zone around the ECS. The paleovegetation during this stage reflects relatively colder and drier climate conditions. During stage III (*ca*. 15–5 kaBP), the percentages of tropical and subtropical broadleaved components (e.g., Elaeocarpaceae, Myrsinaceae, *Castanopsis-Lithocarpus*, *Quercus E*) increased at the expense of *Quercus D*, *Artemisa*, Chenopodiaceae, and Cyperaceae. This expansion of subtropical forest indicates an increase in both temperature and precipitation. At stage IV (*ca*. 5–0 kaBP), an increase in pollen percentages of pioneer plants, such as *Pinus* and ferns, along with a gradual decrease in the original forest component pollen, especially *Quercus E* and *Tsuga*, suggests that the paleovegetation was destroyed by human activities. CONISS: Stratigraphically constrained cluster analysis is based on the total sum of squares. These horizontal lines show the dense sampling. The green, red, pink, and blue of horizontal lines show coniferous trees, tropical and subtropical broadleaved trees and shrubs, temperate broadleaved trees and shrubs, and herbs, respectively.

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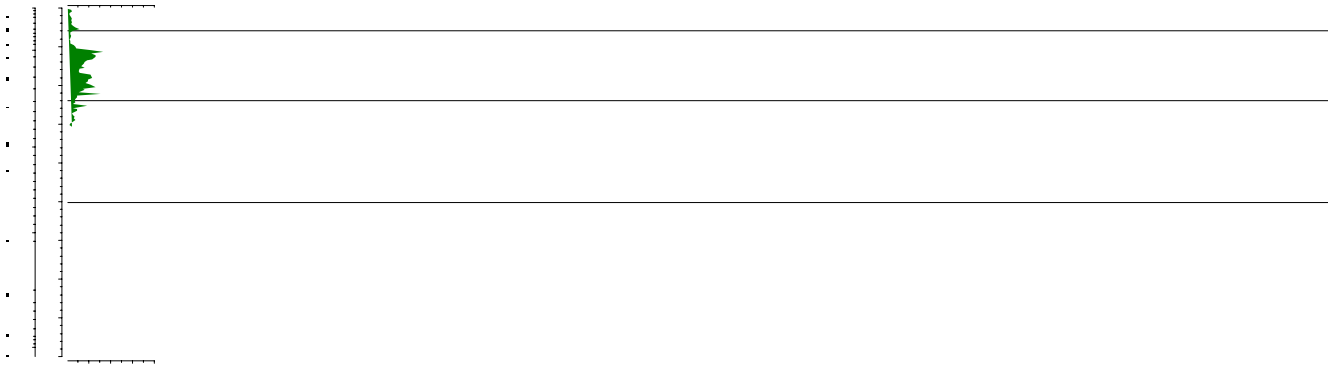

Table S2. Data of pollen (%), foraminifera transfer function (FP-12E), and alkenone paleothermometry ($U^{k'}_{37}$) proxies from core DG9603

Table S2

Table S3. Data of pollen counts from core DG9603

Table S3